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APPLICANTS

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** CONTINUING DATA *************************   *deSMD*

This application is a DIV of 09/139,020 08/24/1998 PAT 6,601,044 which is a CIP of 09/038,158 03/11/1998 PAT 6,996,539

** FOREIGN APPLICATIONS ******************** *none SMD*

IF REQUIRED, FOREIGN FILING LICENSE GRANTED
** 10/23/2003

Foreign Priority claimed ☐ yes ☑ no	STATE OR COUNTRY VA	SHEETS DRAWING 16	TOTAL CLAIMS 3	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met ☐ yes ☑ no ☐ Met after Allowance				
Verified and Acknowledged Examiner's Signature Initials				

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TITLE

Method and apparatus for enabling individual or smaller investors or others to create and manage a portfolio of securities or other assets or liabilities on a cost effective basis

FILING FEE RECEIVED 750	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	☐ All Fees
		☐ 1.16 Fees (Filing)
		☐ 1.17 Fees (Processing Ext. of time)
		☐ 1.18 Fees (Issue)
		☐ Other _____
		☐ Credit